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PATENT
203/270

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of:

Inventor(s): H. Boehm et al. BOEHM, Marcus F.; and HEYMAN, Richard A.

For (title): **COMPOUNDS HAVING SELECTIVE ACTIVITY FOR RETINOID X RECEPTORS, AND MEANS FOR MODULATION OF PROCESSES MEDIATED BY RETINOID X RECEPTORS**

1. Type of Application

This new application is for a(n):

- Original
- Design
- Divisional
- Continuation
- Continuation-in-part (CIP)

2. Benefit of Prior U.S. Application(s) (35 USC 120)

- The new application being transmitted herewith claims the benefit of prior U.S. application Serial No. 08/052,051 filed April 21, 1993, and U.S. application Serial No. 08/027,747 filed March 5, 1993, and U.S. application Serial No. 08/003,223 filed January 11, 1993, and U.S. application Serial No. 07/944,783 filed September 11, 1992, and U.S. application Serial No. 07/872,707 filed April 22, 1992.

CERTIFICATE OF MAILING (37 CFR 1.10)

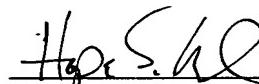
I hereby certify that this paper and the documents referred to as enclosed therein are being deposited with the United States Postal Service on the date shown below with sufficient postage in an envelope as "Express Mail Post Office to Addressee" addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Mailing Label No.: FB508224002US

Hope E. Melville

Name of Person Mailing Paper

Date of Mailing: October 22, 1993


Signature of Person Mailing Paper

3. **Papers Enclosed Which Are Required For Filing Date Under 37 CFR 1.53(b) (Regular) or 37 CFR 1.153 (Design) Application**

98 Pages of Specification
4 Pages of Claims
1 Pages of Abstract
12 Sheets of drawing
 ____ formal
 x informal
 ____ in triplicate

4. **Additional papers enclosed**

____ Preliminary Amendment
____ Information Disclosure Statement
____ Form PTO-1449
____ Citations
____ Declaration of Biological Deposit
____ Authorization of Attorney(s) to Accept and Follow Instructions from Representative
____ Special Comments
____ Other

5. **Declaration or oath**

____ Enclosed
executed by (check all applicable boxes)
____ inventor(s).
____ legal representative of inventor(s). 37 CFR 1.42 or 1.43

- joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or cannot be reached.
- this is the petition by 37 CFR 1.47 and the statement required by 37 CFR 1.47 is also attached. See item 13 below for fee.
- Not enclosed.
- Application is made by a person authorized under 37 CFR 1.41(c) on behalf of all the above-named inventor(s). The declaration and oath, along with the surcharge required by 37 CFR 1.16(e) will be filed subsequently.

6. Inventorship Statement

The inventorship for all the claims in this application are:

- the same
 - or
- not the same. An explanation, including the ownership of the various claims at the time the last claimed invention was made,
 - is submitted.
 - will be submitted.

7. Language

- English
- non-English
 - the attached translation is a verified translation. 37 CFR 1.52(d).

8. Assignment

- An assignment of the invention to
 - is attached.
 - will follow.

9. Certified Copy

Certified copy(ies) of application(s)

(country)	(appln. no.)	(filed)
(country)	(appln. no.)	(filed)
(country)	(appln. no.)	(filed)

from which priority is claimed

is(are) attached

will follow.

10. Fee Calculation

A. x Regular Application

CLAIMS AS FILED

<u>Number Filed</u>	<u>Number Extra</u>	<u>Rate</u>	<u>Basic Fee</u>
Total			\$710.00
<u>Claims</u>	- 20 =	X \$ 22.00	\$
<u>Independent</u>			
<u>Claims</u>	- 3 =	X \$ 74.00	\$
Multiple dependent claim(s), if any			\$230.00 \$

Amendment cancelling extra claims enclosed.

Amendment deleting multiple dependencies enclosed.

Fee for extra claims is not being paid at this time.

Filing Fee Calculation \$

B. — Design Application (\$290.00 - 37 CFR 1.16(f))

Filing Fee Calculation \$ _____

11. Small Entity Statement(s)

Verified Statement(s) that this is a filing by a small entity under 37 CFR 1.9 and 1.27 is(are) attached.

Filing Fee Calculation (50% of A or B above) \$ _____

12. Request for International-Type Search (37 CFR 1.104(d))

Please prepare an international-type search report for this application at the time when national examination on the merits takes place.

13. Fee Payment Being Made At this Time

Not Enclosed

No filing fee is to be paid at this time. (This and the surcharge required by 37 CFR 1.16(e) can be paid subsequently.)

Enclosed \$ _____

basic filing fee \$ _____

recording assignment (\$40.00; 37 CFR 1.21(h)(1)) \$ _____

petition fee for filing by other than all the inventors or person on behalf of the inventor where inventor refused to sign or cannot be reached. (\$140.00; 37 CFR 1.47 and 1.17(h)) \$ _____

for processing an application with a specification in a non-English language. (\$130.00; 37 CFR 1.52(d) and 1.17(k)) \$ _____

processing and retention fee (\$130.00; 37 CFR 1.53(d) and 1.21(1)) \$ _____

fee for international-type search report (\$40.00; 37 CFR 1.21(e)) \$ _____

fee for petition to make application special \$ _____

Total fees enclosed \$ _____

14. Method of Payment of Fees

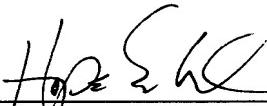
- Check in the amount of \$ _____
 Charge Account No. 12-2475 in the amount of
\$ _____. A duplicate of this transmittal is
attached.

15. Authorization to Charge Additional Fees

- The Commissioner is hereby authorized to charge
the following additional fees by this paper and
during the entire pendency of this application to
Account No. 12-2475.
- 37 CFR 1.16(a) or (g) (filing fees)
 37 CFR 1.16(b), (c) and (d) (presentation of
extra claims)
 37 CFR 1.16(e) (surcharge for filing the
basic filing fee and/or declaration on a date
later than the filing date of the
application)
 37 CFR 1.17 (application processing fees)
 37 CFR 1.18 (issue fee at or before mailing
of Notice of Allowance, pursuant to 37 CFR
1.311(b))

16. Instructions As To Overpayment

- credit Account No. 12-2475.
 refund



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